



In re Application of

Sudeepta Aggarwal et al.

Serial No.: 10/533,069

Filed: April 28, 2005

For:

Compositions and Methods for the

Treatment of Immune Related

APR 0 3 2006

Diseases

Group Art Unit: 1646

Examiner: to be assigned

CONFIRMATION NO: 5360

CUSTOMER NO: 09157

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to "Commissioner for Patents, P.O.

Box 1450, Alexandria Virginia 22313-1450".

March 31, 2006

Barbára Hebert

PETITION TO ACCEPT APPLICATION UNDER 37 CFR 1.47(a)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants Hilary Clark, Austin L. Gurney, Jill R. Schoenfeld, P. Mickey Williams, William I. Wood, Thomas D. Wu petition under 37 CFR 1.47(a) to have the above-identified application accepted without the signature of Sudeepta Aggarwal.

Enclosed are:

- Copy of Decision on Petition Under 37 CFR 1.425 granted in PCT/US03/34381, and
- Declaration in support of this Petition, signed by Barbara Hebert, a contract employee of Genentech, Inc., setting out the facts for being unable to locate Sudeepta Aggarwal.

The Declaration is accompanied by:

copy of the February 13, 2006, letter to Sudeepta Aggarwaal;

copy of the tracking history from Federal Express for the February 13, 2006 package;

copy of the second request dated March 16, 2006 to Sudeepta Aggarwaal;

copy of the Federal Express receipt dated March 16, 2006;

copy of the tracking history from Federal Express for the March 16, 2006 package.

Appln No. 10/533,069

The signing inventors believe that they and Sudeepta Aggarwal are joint inventors. The signing inventors have therefore, as required by 35 USC 116, second paragraph, and 37 CFR 1.47(a), made the above-identified application on behalf of themselves and Sudeepta Aggarwal by signing a declaration including the name, address, and citizenship of Sudeepta Aggarwal as well as their own in accordance with MPEP 409.03 (a), subparagraph (A).

Applicants therefore respectfully petition that this application be accepted without the signature of non-signing inventor Sudeepta Aggarwal.

Respectfully submitted,

GENENTECH, INC)

Date: March 31, 2006

David A. Carpenter

Reg. No. 45,945

Telephone No. (650) 225-3733

PCT/USUS/34381, 24052004

CARPENTER, David A.
GENENTECH, INC.
1 DNA Way
South San Francisco, CA 94080-4990

PE WOST

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

In re Application of

GENENTECH, INC. et al

PCT No.: PCT/US03/34381
Int. Filing Date: 28 October 2003

Priority Date: 29 October 2002 Attorney's Docket No.: P1982R1

For: COMPOSITIONS AND METHODS FOR THE TREATMENT OF IMMUNE

RELATED DISEASES

DECISION ON

PETITION UNDER

37 CFR 1.425

This request "Response to Invitation to Correct Defects in the International Application" filed on 5 February 2004, requesting that the above-captioned international application be accepted without the signature of applicant/inventor Sudeepta Aggarwal. No petition fee is required.

BACKGROUND

On 28 October 2003, applicants filed the present international application with the United States Receiving Office (RO/US).

On 05 February 2004, the RO/US mailed an "INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION" (Form PCT/RO/106), which indicated that as to the signature of the international application, the request is signed by what appears to be an agent/common representative but the power of attorney accompanying the international application was not signed by all the applicants.

On 25 February 2004, applicants filed the present petition requesting acceptance of the international application without the signature of one of the seven named applicants on the Request, i.e., applicant/inventor Sudeepta Aggarwal. The petition was accompanied by a letter mailed to Ms. Sudeepta in the effort to locate her.

DISCUSSION

The statement in the petition and the letter provided by Mr. Carpenter are sufficient under 37 CFR 1.425 to establish that applicant/inventor Sudeepta could not be found to sign the *Request* because the effort to reach her were unsuccessful.

PCT/US03/34381 24052004 Application No.: PCT/US03/34381

CONCLUSION

For the reasons above, the petition under 37 CFR 1.425 is **GRANTED**.

The application will be returned to the RO/US.

Rafael Bacares

PCP Legal Examiner

PCT Legal Office

Telephone: (703) 308-6312 Facsimile: (703) 308-6459 Leonard Smith

PCT Legal Examiner

PCT Legal Office





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Sudeepta Aggarwal et al.

Serial No.: 10/533,069

Filed: April 28, 2005

For: Cor

Compositions and Methods for the

Treatment of Immune Related

Diseases

Group Art Unit: 1646

Examiner: to be assigned

CONFIRMATION NO: 5360

CUSTOMER NO: 09157

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450".

March 31, 2006

Barbara Hebert

DECLARATION OF BARBARA HEBERT

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

- I, BARBARA HEBERT, do declare and state as follows:
- 1. I am a patent assistant in the Legal Department at Genentech, Inc.
- 2. On February 13, 2006, I sent Sudeepta Aggarwal a package envelope containing the following: (a) a letter signed by David A. Carpenter; (b) a Combined Declaration for Patent Application and Power of Attorney with a tab indicating the line where Ms. Aggarwal was to sign; (c) an Assignment, also with a tab indicating the line on which to sign; and (d) a return Federal Express envelope and pre-paid label for the convenience of Ms. Aggarwal to return the signed documents. The package was sent via Federal Express (Priority Overnight). I prepared the Federal Express label myself, inserted the above-identified documents into the envelope, sealed the envelope and left it to be picked up by Federal Express in our building.
- 3. The signed documents were not returned, and on March 16, 2006, I contacted Federal Express and was faxed the tracking history submitted herein.
- 4. On March 16, 2006, I attempted to find a phone number for Sudeepta Aggarwal and could find no listing.

Appln No. 10/533,069

- On March 16, 2006, I sent a Second Request to Ms. Aggarwal by Federal Express. This package
 was identical to the one sent on February 13, 2006 and contained a return Federal Express
 envelope and pre-paid label in which to return the signed documents.
- 6. The signed documents were not returned, and on March 20, 2006, I confirmed delivery by checking the tracking history available online. A copy of the results are submitted herein.
- 7. I hereby declare that all statements made in this Declaration, of my own knowledge, are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and patent issuing thereon, or any patent to which this verified statement is directed.

Respectfully submitted,

GENENTECH, INC

Date: March 31, 2006

Barbara Hebert / Telephone No. 650.225.8830

Genentech IN BUSINESS FOR LIFE



PRIVILEGED & CONFIDENTIAL

Writer's Direct Contact Tel. (650) 225-3733 carpenda@gene.com

February 13, 2006

Sudeepta Aggarwal 2981 Berkshire Drive San Bruno, CA 94066

VIA FEDERAL EXPRESS

Austin L. Gurney 946 Diamond St. San Francisco, CA 94114

Jill R. Schoenfeld 680 Spring Creek Drive Ashland, OR 97520

P. Mickey Williams 509 Alto Avenue Half Moon Bay, CA 94019

Genentech, Inc. Hilary Clark MS #93

VIA INTEROFFICE MAIL

William I. Wood MS #93

Thomas Wu MS #93 Re:

U.S. Patent Application No.: 10/533,069

Filed April 28, 2005 Our Ref: P1982R1

Ladies and Gentlemen:

Enclosed are a Combined Declaration and Power of Attorney and an Assignment regarding the above-referenced U.S. Patent Application. Please review this material, SIGN AND DATE, IN BLUE INK, the Declaration and Assignment were indicated and return them to me for filing with the U.S. Patent Office. If any changes are necessary, please make the change and initial next to it.

To assist Sudeepta Aggarwal, Austin L. Gurney, Jill R. Schoenfeld and P. Mickey Williams in this regard, I have enclosed a return, prepaid Federal Express Envelope/Airbill in the package.

Thank you very for your assistance in this regard.

Best regards

David A. Carpenter, Ph.D.

Patent Counsel

w/ Enclosures

FedEx Express Customer Support Domestic Trace 3876 Airways Boulevard Module H. 4th Floor Memphis, TN 38118

U.S. Mail: PO Box 727 Memphis, TN 38194-4643 Telephone 901-389-3800



March 16,2006

BARBARA HEBERT (650) 952-9881

Dear BARBARA HEBERT:

Our records reflect the following delivery information for the shipment with the tracking number 792658552632. The package was released as authorized by the shipper/recipient.

Delivery Information:

Released By: 999999999999

Delivered to: 2981 BERKSHIRE DRIVE

Delivery Date: February 15, 2006

Delivery Time: 01:49 PM

Shipping Information:

Shipment Reference Information: DAC P1982R1

Tracking No: 792658552632 Ship Date: February 14, 2006

Shipper: **EUNICE PAULEY** Recipient: SUDEEPTA AGGARWAL

GENENTECH, INC.

1 DNA WAY 2981 BERKSHIRE DRIVE SOUTH SAN FRANCISCO, CA

SAN BRUNO, CA 94066 94080 US

US

Thank you for choosing FedEx Express. We look forward to working with you in the future.

FedEx Worldwide Customer Service 1-800-Go-FedEx (1-800-463-3339) Reference No: R2006031600267261150

This Information is provided subject to the FedEx Service Guide.



PRIVILEGED & CONFIDENTIAL

Writer's Direct Contact Tel. (650) 225-3733 carpenda@gene.com

February 13, 2006

Sudeepta Aggarwal 2981 Berkshire Drive San Bruno, CA 94066 VIA FEDERAL EXPRESS

Second Request

Austin L. Gurney 946 Diamond St. San Francisco, CA 94114

Jill R. Schoenfeld 680 Spring Creek Drive Ashland, OR 97520

P. Mickey Williams 509 Alto Avenue Half Moon Bay, CA 94019

Genentech, Inc. Hilary Clark MS #93 VIA INTEROFFICE MAIL

William I. Wood MS #93

Thomas Wu MS #93 February 13, 2006 Page 2

Re: U.S. Patent Application No.: 10/533,069

Filed April 28, 2005 Our Ref: P1982R1

Ladies and Gentlemen:

Enclosed are a Combined Declaration and Power of Attorney and an Assignment regarding the above-referenced U.S. Patent Application. Please review this material, SIGN AND DATE, IN BLUE INK, the Declaration and Assignment were indicated and return them to me for filing with the U.S. Patent Office. If any changes are necessary, please make the change and initial next to it.

To assist Sudeepta Aggarwal, Austin L. Gurney, Jill R. Schoenfeld and P. Mickey Williams in this regard, I have enclosed a return, prepaid Federal Express Envelope/Airbill in the package.

Thank you very for your assistance in this regard.

Best regards,

David A. Carpenter, Ph.D.

Patent Counsel

w/ Enclosures

From: Origin ID: (650)225-1719 Euroice Pauley

Generatech, Inc. 1 DNA Way

South San Francisco, CA 94080

Fedex.

REF: P1982R1

Ship Date: 16MAR06

System#: 4853013/INET2400 Account#: S *********

ActWgt: 1 LB

UP TO MODE OFFI

BILL SENDER

Delivery Address Bar Code

SHIP TO: (650)225-8830

Sudeepta Aggarwal

2981 Berkshire Drive

San Bruno, CA 94066



PRIORITY OVERNIGHT

HT FRI

TRK# 7926 8807 8355

Deliver By: 17MAR06 A1

SFO

94066 -CA-US RES



Please fold this document in half and place it in the waybill pouch affixed to your shipment so that the barcode portion of the label can be read and scanned.

***WARNING: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

1. Fold the printed page along the horizontal line.

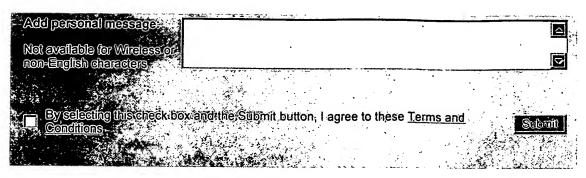
2. Place label in shipping label pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

United States Home Information Center | Custom FedEx Search Package / Envelope Services Office / Print Services Freight Services **Expedited Servi** Ship Track Manage My Account International Tools Track Shipments Printable Version (7) Quick Help **Detailed Results** Select another track: 792688078355 Next Wrong Address? 792688078355 ackinginumber P1982R1 Reference Reduce future mistal igneditor by Signature release on file Destination San Bruno, CA FedEx Address Chec Mar 16, 2006 Mar 17, 2006 8,48 AM io clate Delivered to Residence **Priority Envelope** Service type: Shipping Freight? 0.5 lbs. Weight FedEx has LTL, air fi surface and air exper Delivered: multi piece package and ocean freight. Date/Time Activity Location Details 28:48 AM Delivered San Bruno, CA Left at front door. Package Need to track a delivered to recipient address release authorized: FedEx SmartPost 7.53 AM On FedEx vehicle SOUTH SAN for delivery FRANCISCO, CA shipment? 6:54 AM Departed FedEx OAKLAND, CA. location 6:52 AM At local FedEx SOUTH SAN facility FRANCISCO CA Mar 16, 2006 9:47 PM Arrived at FedEx OAKLAND, CA location 7:01 PM Left origin SOUTH SAN FRANCISCO, CA 4:12 PM Picked up SOUTH SAN FRANCISCO, CA 2:40 PM Package data transmitted to FedEx -Subscribe to tracking updates (optional) our Name: Your Email Address: Email/address Exception Delivery Language updates updates English 17, 3 **English English**

English

Selectiformat: HTML C Text C Wireless



Global Home | Service Info | About FedEx | Investor Relations | Careers | fedex.com Terms of Use | Privacy Policy
This site is protected by copyright and trademark laws under US and International law. All rights reserved. © 1995-2006 FedEx